

# Scanning Electron Cryomicroscopy (Cryo-SEM) For Characterization of Complex Drug Products

**SBIA 2021: Advancing Generic Drug Development: Translating Science to Approval**  
**Day 2, Session 1: (Cutting Edge Science)**

**Huzeyfe Yilmaz**

Staff Fellow

Office of Testing and Research

CDER | U.S. FDA

September 21/22, 2021

## Pharmaceutical Quality

**A quality product of any kind consistently meets the expectations of the user.**



## Pharmaceutical Quality


**A quality product of any kind consistently meets the expectations of the user.**



**Drugs are no different.**



**Patients expect safe and effective  
medicine with every dose they  
take.**



Pharmaceutical quality is  
assuring *every* dose is safe and  
effective, free of contamination  
and defects.



It is what gives patients  
confidence in their *next* dose of  
medicine.

# Learning Objectives

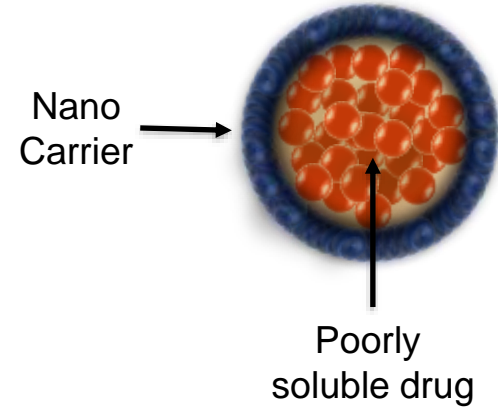
- Describe what is considered a nanomaterial and why is electron microscopy used or needed
- List four techniques used to make particle size measurements in nanomaterial containing complex drugs
- Distinguish image-based quantitative analysis and particle size analysis based on light scattering
- Evaluate sample preparation approaches and particle sizing techniques for size and shape analysis of nanomaterials



# Nanotechnology in Medicine



- “Application of nanotechnology”
  - Nanoscale range: 1-100 nm
  - Size-related phenomena:  $\leq 1000$  nm
- Increase potency
- Decrease toxicity
- Improve delivery



Size

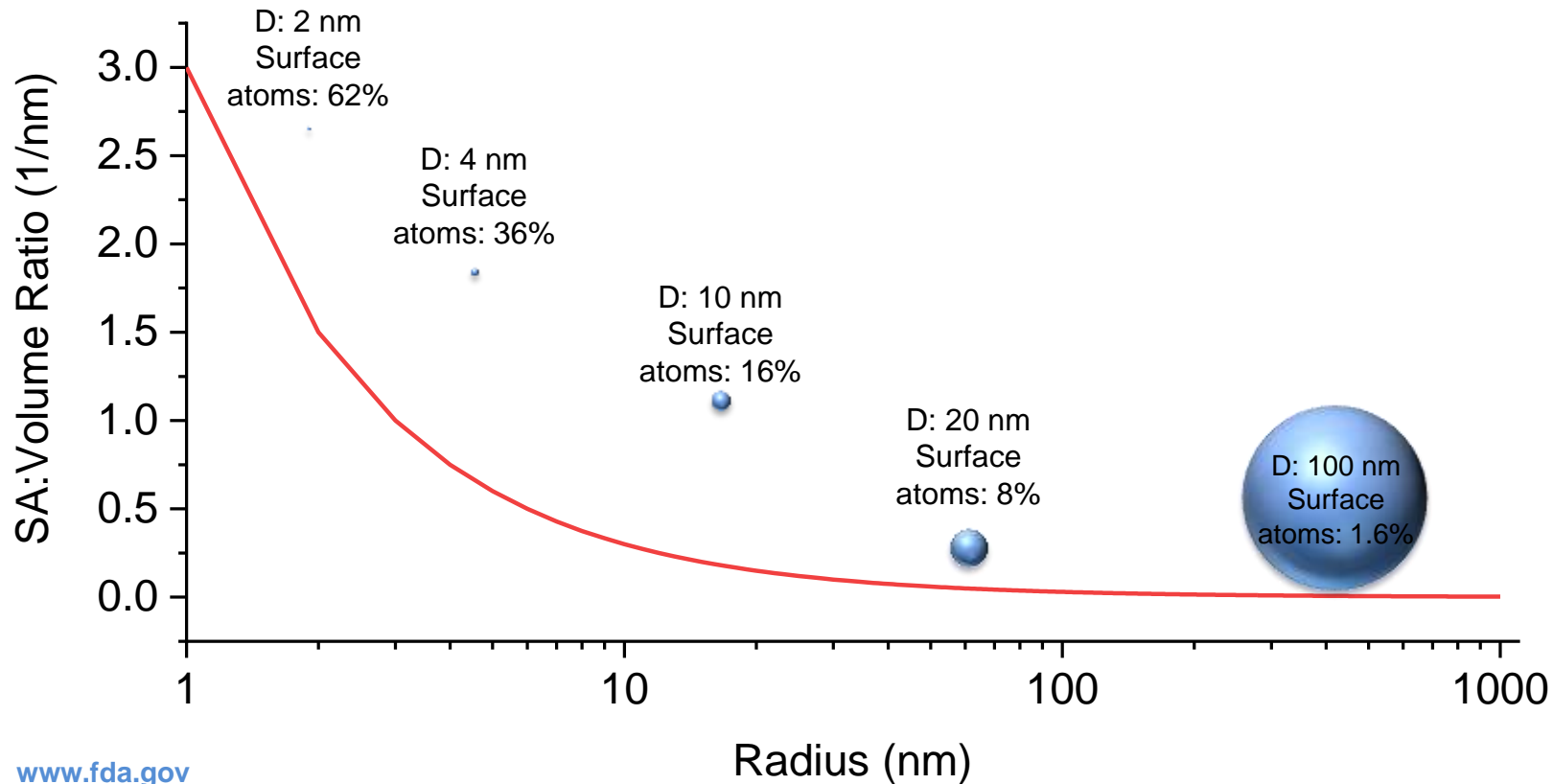
Shape

Surface  
Chemistry

Composition



# Nanoparticles



# Nanoparticles – Properties vs Size

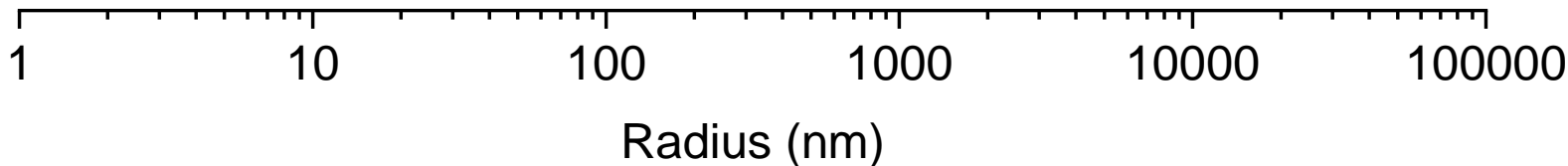
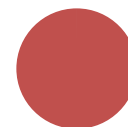
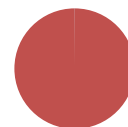
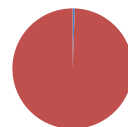
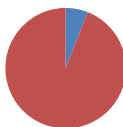
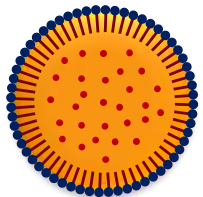


Metals  
(Au) - Color



Nanoemulsion  
(Oil Globule)

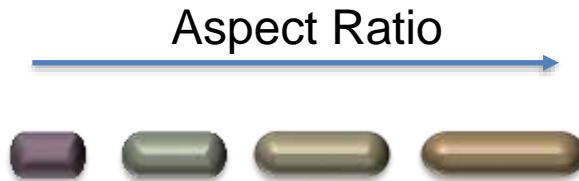
Surfactant  
in the bulk  
(aqueous)      on the surface  
(oil)



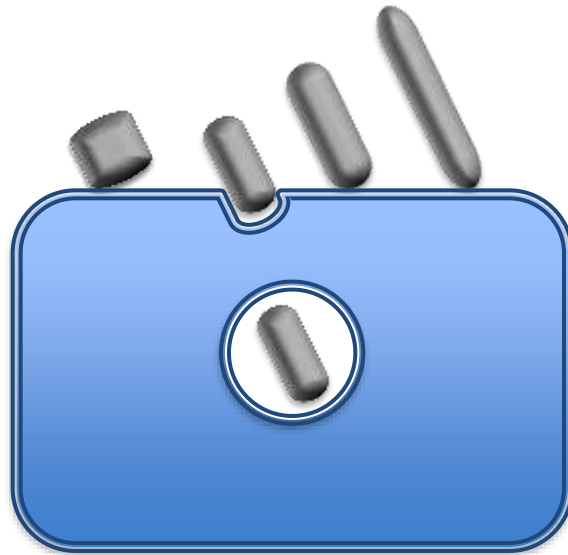
# Nanoparticles – Properties vs Shape



Metals (Au) - Color



Nanocrystalline API –  
Cellular Uptake



from the journal  
**Nanoscale**

The aspect ratio effect of drug nanocrystals on cellular internalization efficiency, uptake mechanisms, and *in vitro* and *in vivo* anticancer efficiencies†

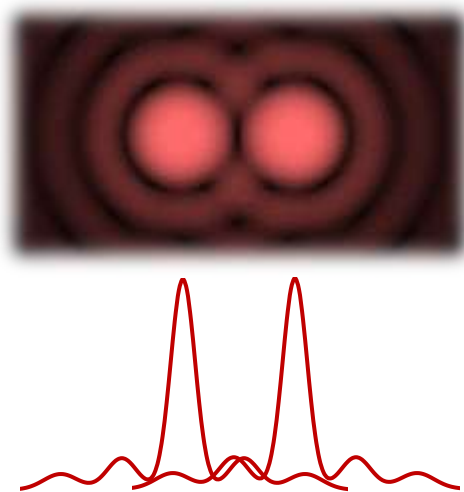
Botchen Tian, Xueyan Zhang, Caitong Yu, Mengqiao Zhou, and Xuehong Zhang†

# Analytical Considerations: Light Microscopy

- Wave nature of light, resolution:

$$\frac{\lambda}{2n \sin \theta} = \frac{\lambda}{2NA}$$

- $NA \leq 1.51$  (realistically  $\leq 1.4$ )
- For dry objectives  $NA \leq 1$
- At 550 nm (green light) resolution  $\geq 200 \text{ nm}$  (realistically 1-5  $\mu\text{m}$ )



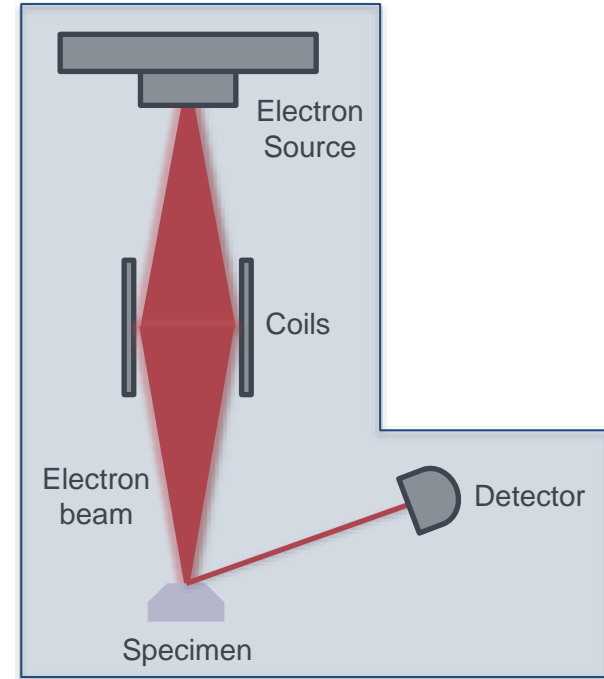
# Analytical Considerations: Electron Microscopy



- Electron microscopy:

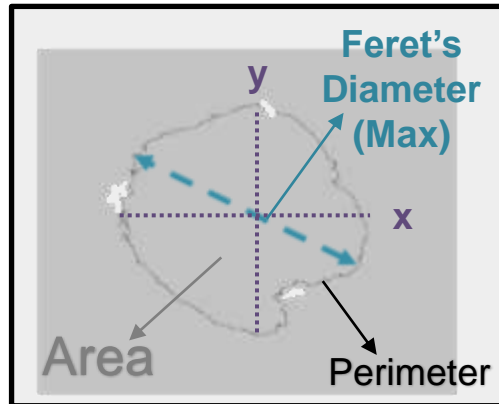
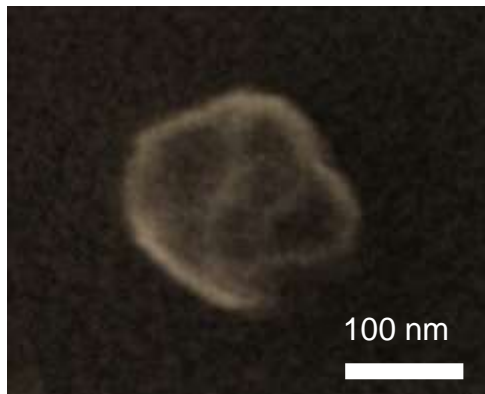
$$\lambda = \frac{h}{\sqrt{2meV}}$$

- $\sim 4 \text{ pm}$  at 100 keV,  $\sim 12 \text{ pm}$  at 10 keV
- TEM, SEM, STEM, STM
- SEM: 0.4 nm (realistically 1-10 nm)



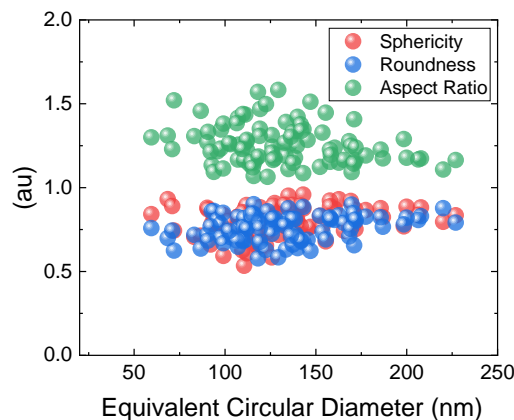
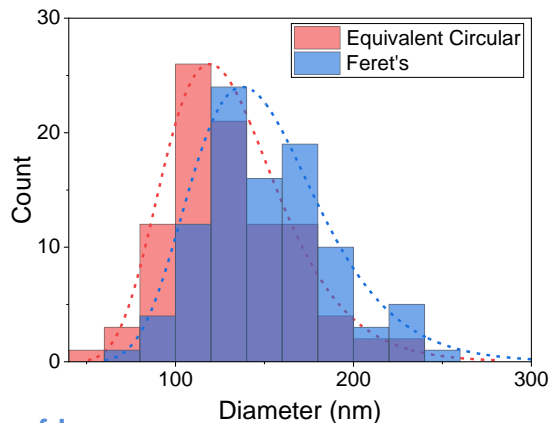
Vacuum

# Image Based Quantitative Analysis



$$D = 2 \sqrt{\frac{Area}{\pi}}$$

$$AR = \frac{(Feret\ Length)^2}{Area}$$



$$Roundness = \frac{4 \times Area}{\pi \times Length^2}$$

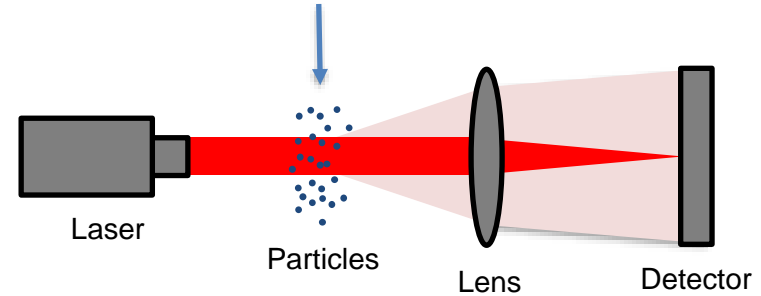
$$Sphericity = 4 \times \pi \times \frac{Area}{Perimeter^2}$$

# Nanomedicines: Measuring Particle Size



- Static Light Scattering (Laser Diffraction):

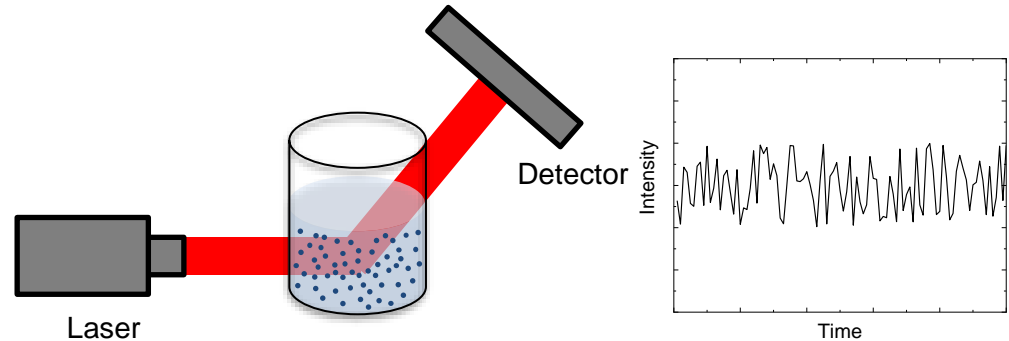
- Measure scattered light intensity and angle
- Estimate size using Fraunhofer or Mie theory



- Dynamic Light Scattering:

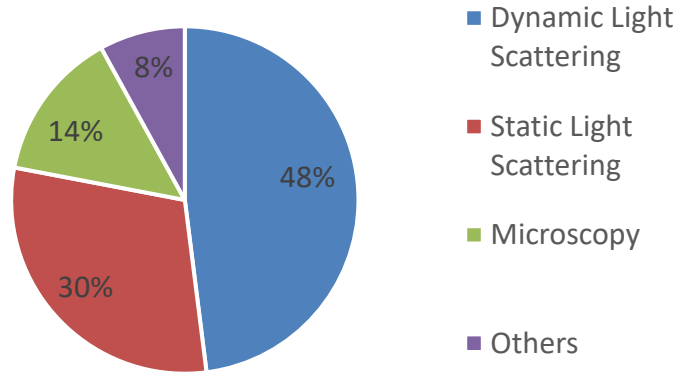
- Brownian Motion
- Stokes-Einstein Equation:

$$D = \frac{k_B T}{6\pi\eta R_h}$$





# Nanomedicines: Particle Size



- Light scattering methods
  - Quick and easy indirect measurement
  - Limitations with particle shape, sample preparation and model parameters
- Imaging
  - Tedious sample preparation, analysis volume
  - Image based measurement and morphology



## The evolving landscape of drug products containing nanomaterials in the United States

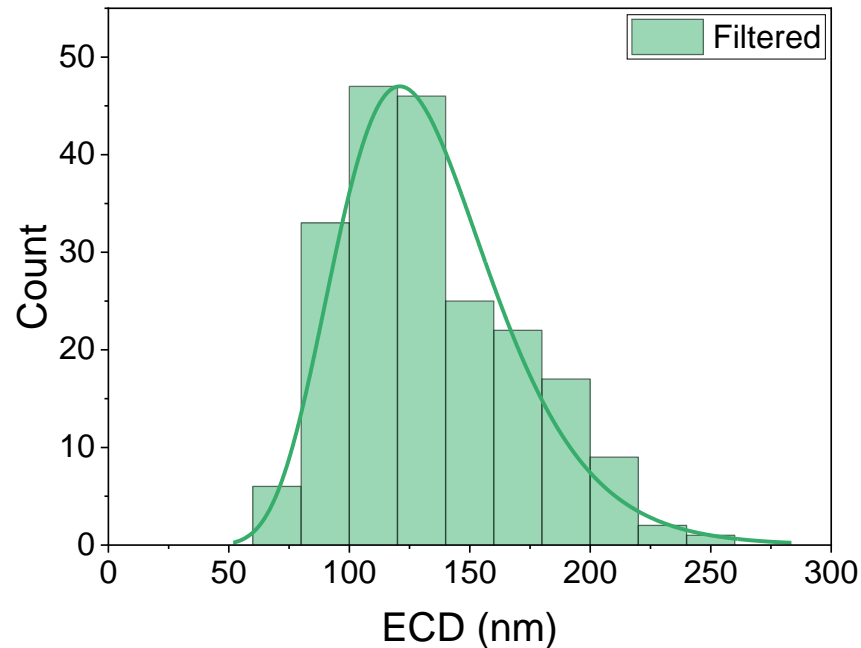
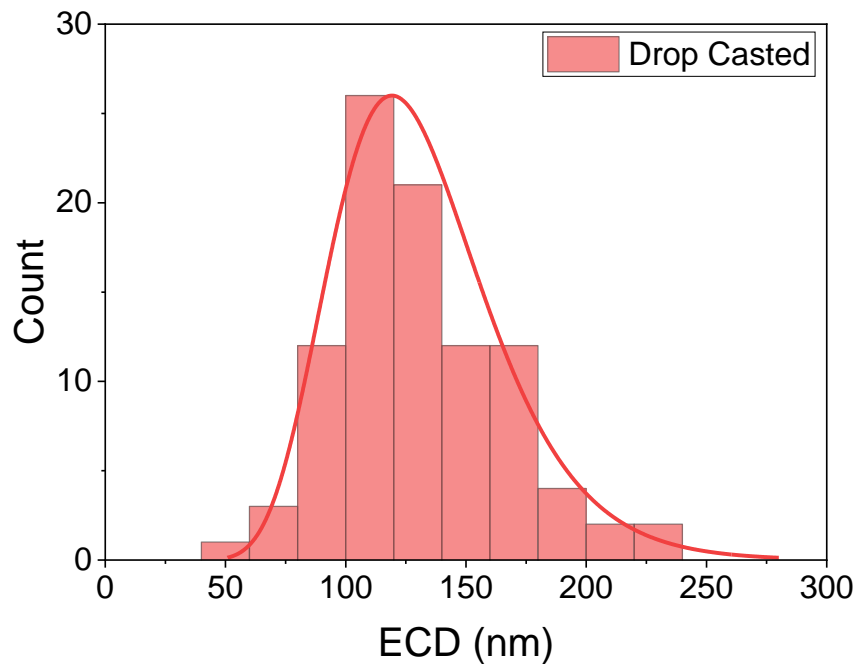
Sheetal R. D'Mello, Celia N. Cruz, Mei-Ling Chen, Mamta Kapoor, Sau L. Lee and Katherine M. Tyner\*

# Challenge Question #1

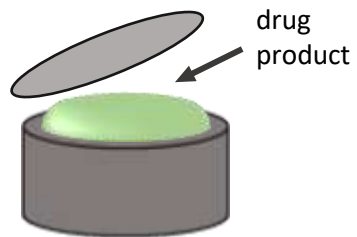
**Which technique is best suited for characterization of a high aspect ratio ( $AR > 2$ ) nanocrystal formulation with particles smaller than 50 nm in size ( $D_{90} < 50$  nm)?**

- A. Optical Microscopy
- B. Static Light Scattering
- C. Dynamic Light Scattering
- D. Transmission Electron Microscopy

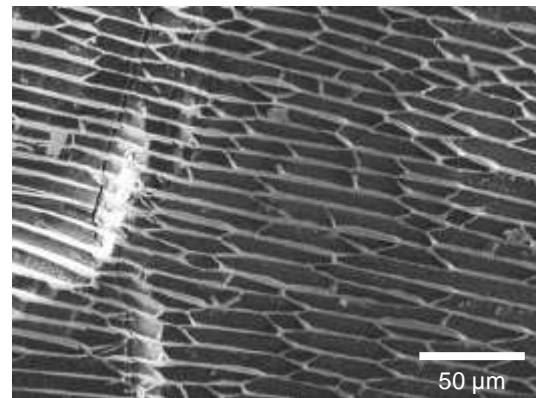
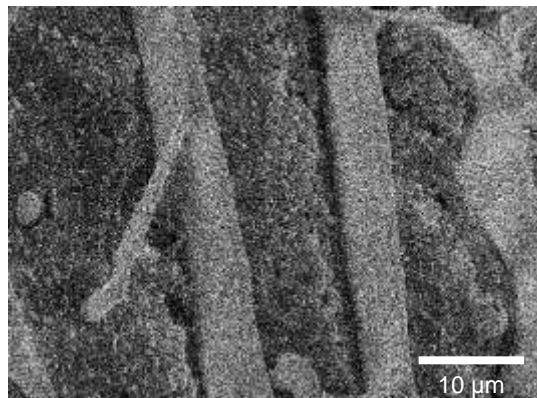
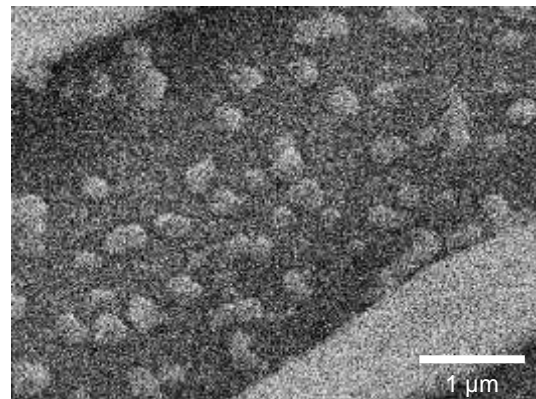
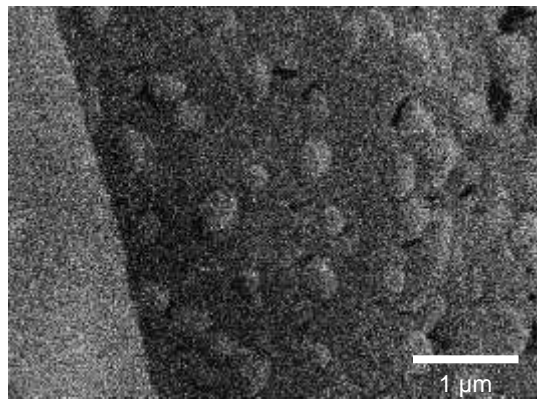
# Sample Preparation in EM



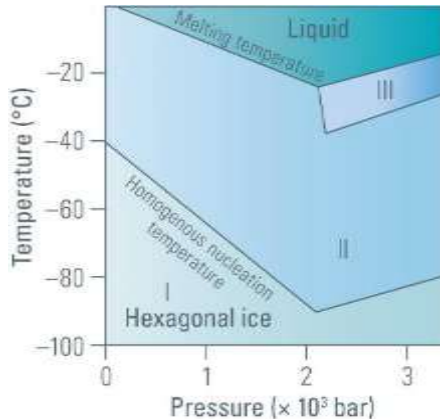
# Plunge Freezing



Nitrogen  
Slush

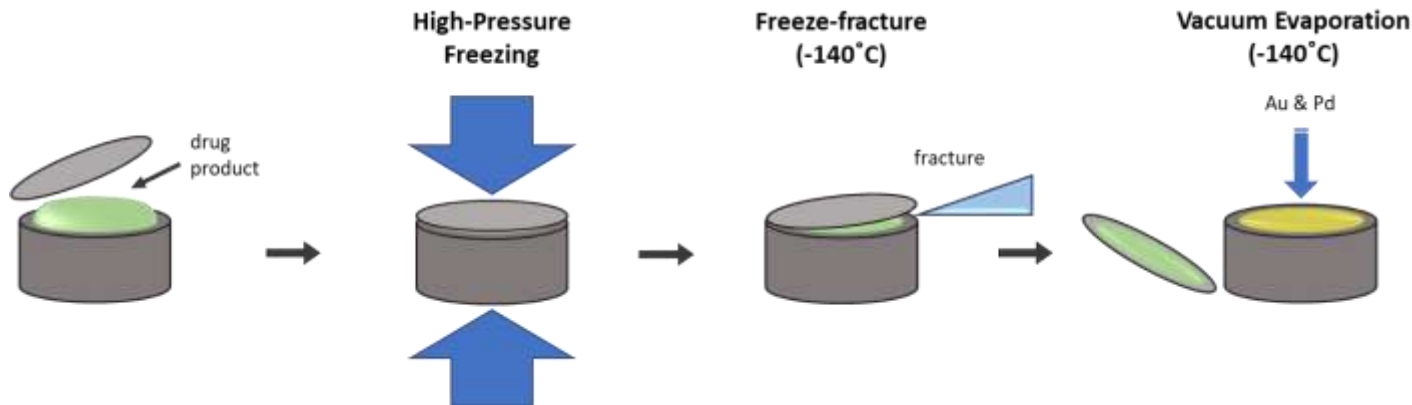


# High-Pressure Freezing

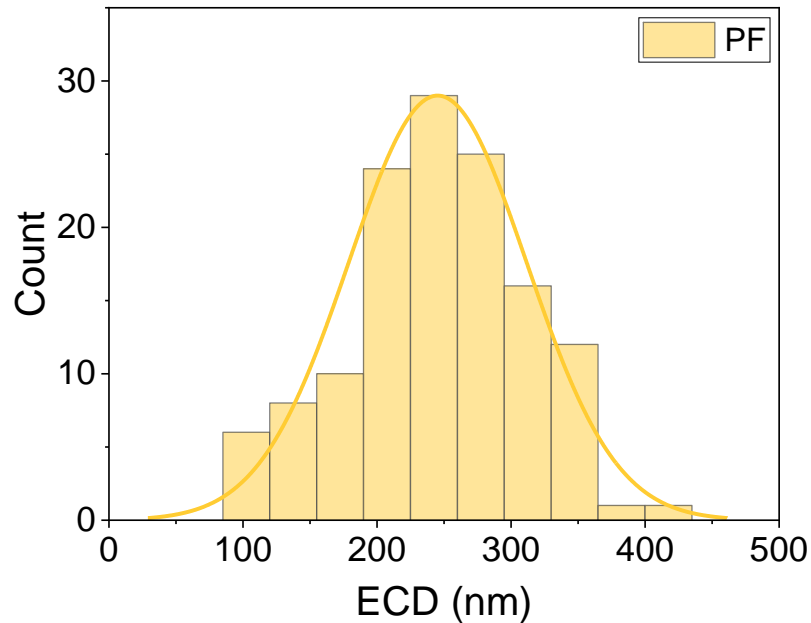
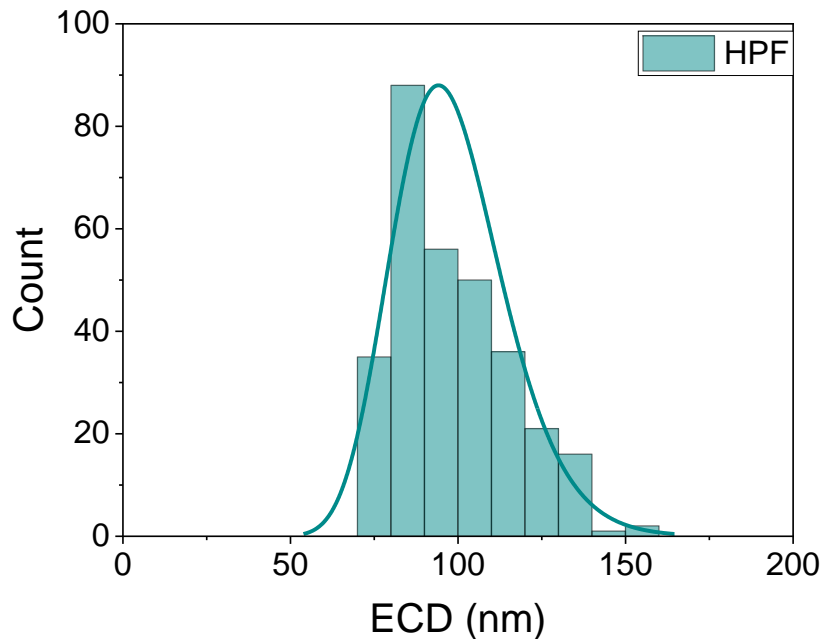


Kanno, H.; Speedy, R.; Angell, C., Supercooling of water to -92 C under pressure. *Science* **1975**, 189 (4206), 880-881.

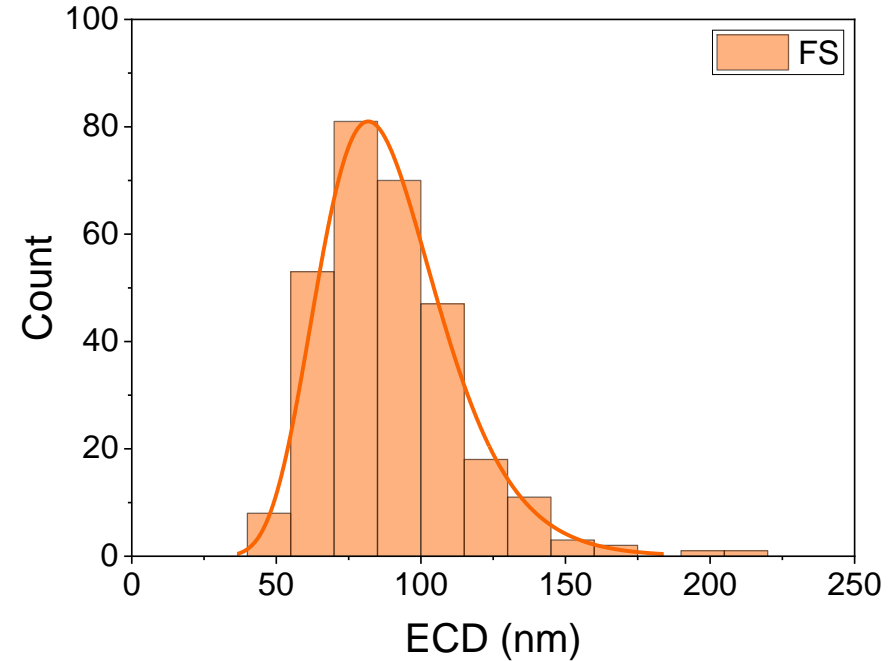
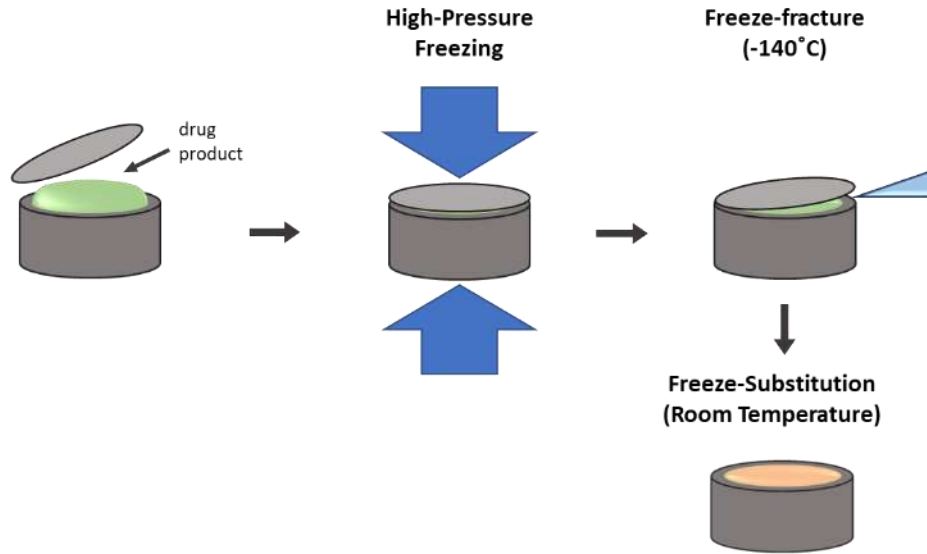
- At 2 kbar homogeneous nucleation temperature is lowered
- Crystallization depends on cooling rate
- Synchronized pressurization and cooling



# High-Pressure vs Plunge Freezing

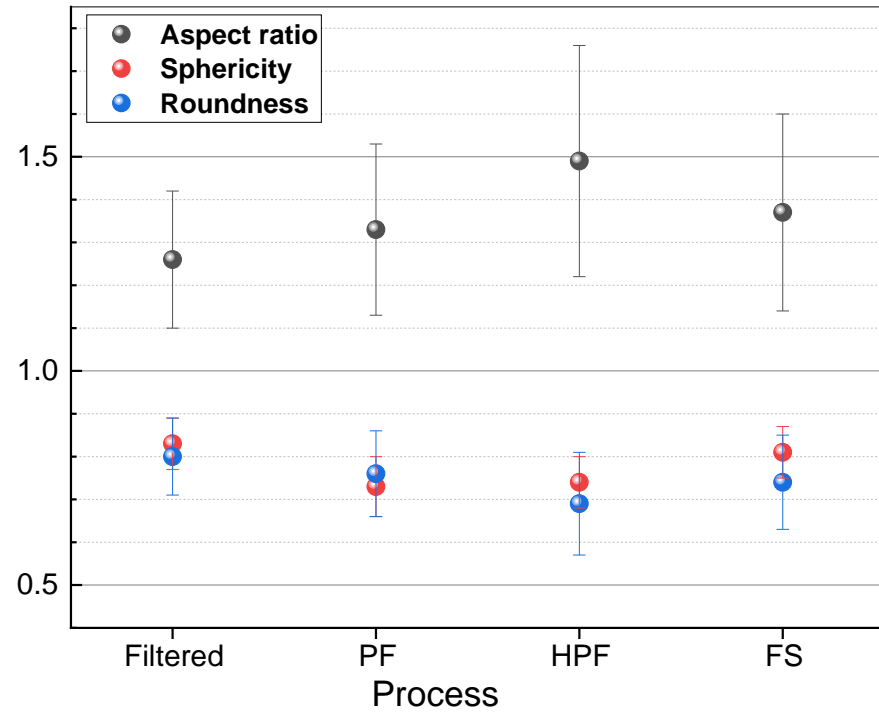
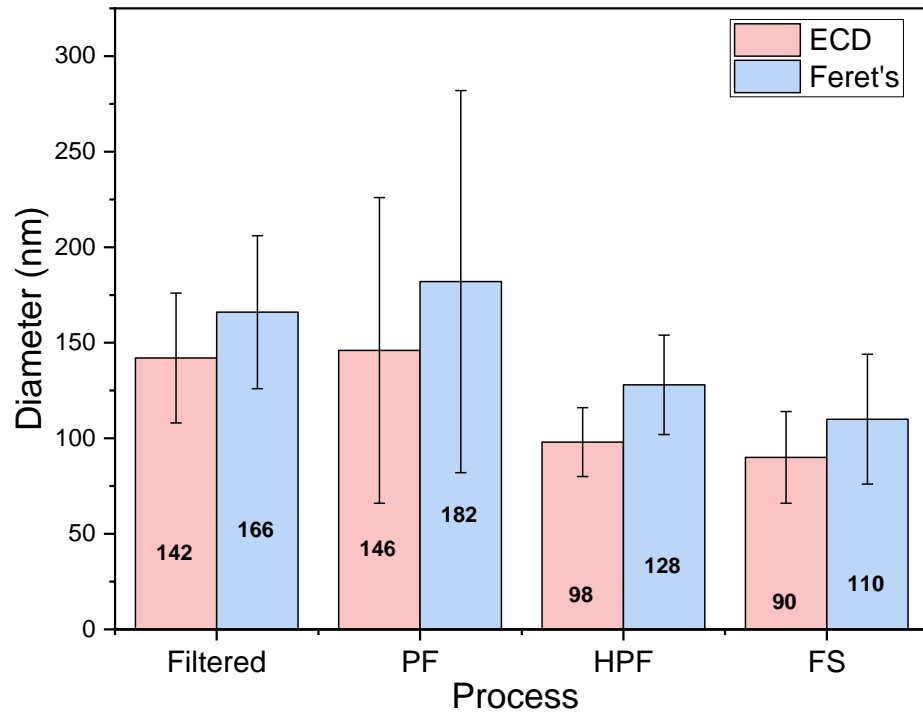


# Freeze Substitution

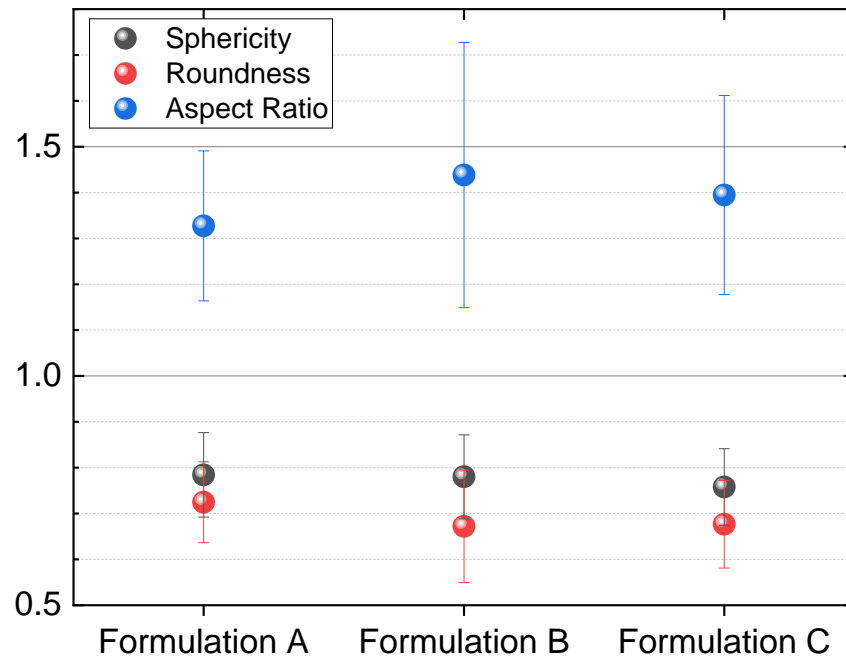
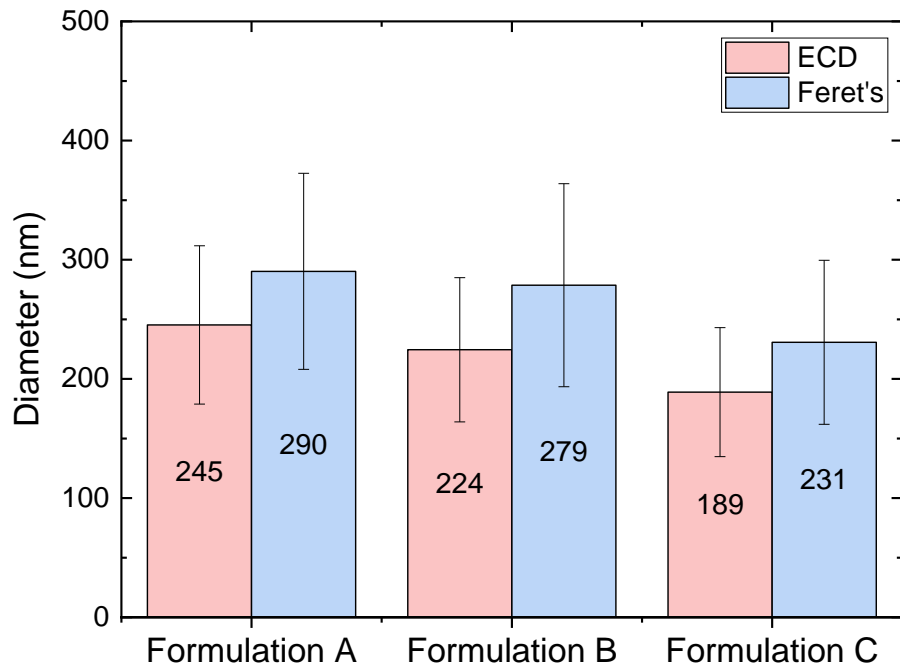




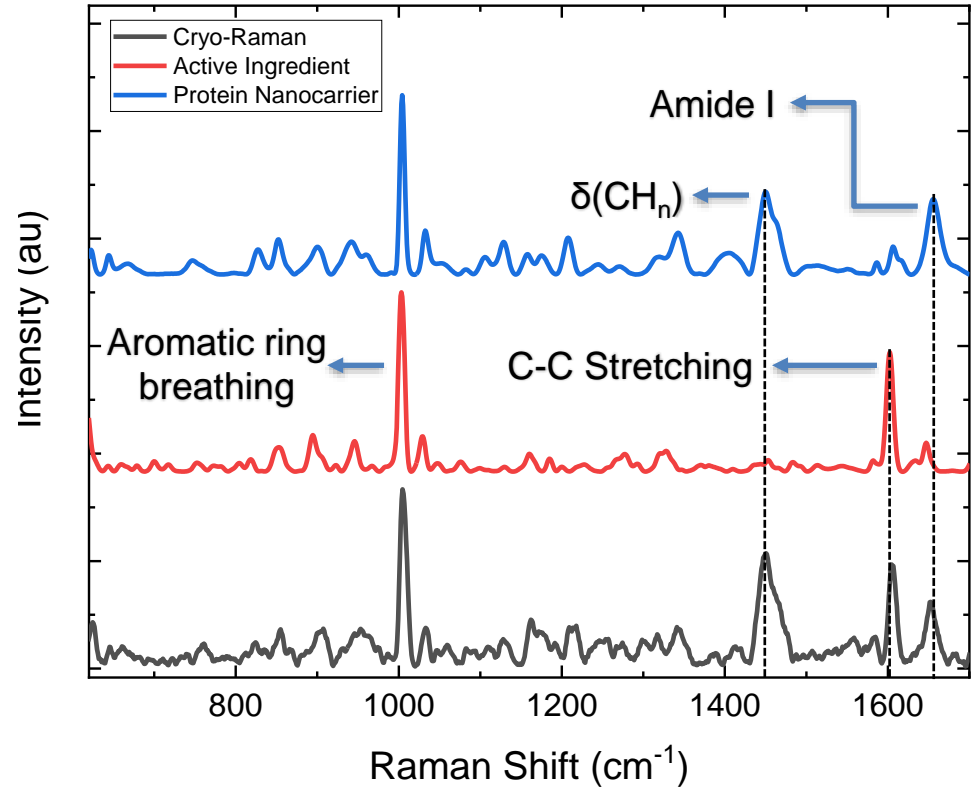
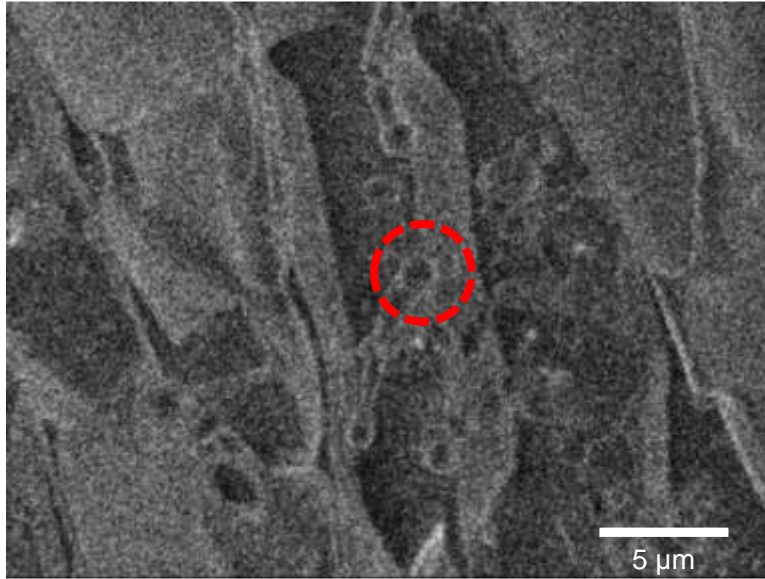
# Cryofixation Strategies



# Comparing Formulations



# Multi-Attribute Characterization



## Challenge Question #2

**Which sample preparation method will minimize artefacts in cryo-EM analysis of an aqueous suspension nanomedicine?**

- A. Chemical Fixation
- B. High Pressure Freezing
- C. Plunge Freezing
- D. Filtering and drying

# Summary

- Nanotechnology has been revolutionizing medicine
- Adequacy of in-vitro characterization methods; method development for particle size analysis, commonly used (light scattering) methods and their limitations
- Image based quantitative analysis via cryo-EM; cryofixation strategies, direct measurement of size and shape
- Potential for multi-attribute characterization in native-like state (cryo- or chemical fixation)

# Acknowledgements



Snober Ahmed, ORISE, DCDA/OTR/OPQ/CDER

Daniel Willett, Chemist, DCDA/OTR/OPQ/CDER

Jason Rodriguez, Supervisory Chemist, DCDA/OTR/OPQ/CDER

