

# **GDUFA: Moving from the Present to the Future**

**Kathleen Uhl, MD**  
**Director, Office of Generic Drug**  
**CDER/FDA**

**SBIA Generic Drug Forum**  
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# PRESENTATION OUTLINE

1. Present  
(GDUFA I implementation)
2. Future  
(GDUFA II)
3. Closing Thoughts

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- 1. Present**  
**(GDUFA I implementation)**
- 2. Future**  
**(GDUFA II)**
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# GDUFA: Present

- FDA is meeting or exceeding all GDUFA goals
- Numerous other significant accomplishments
- Main outstanding challenge is multiple review cycles for ANDA to get to approval
  - Leads to a huge amount of re-work for FDA and applicants alike

# GDUFA I -- goal dates

*Powerful tool to improve the timeliness and predictability of review  
Generic Drug Program is a REVIEW Program*

Goals	Review Time	Year 3	Year 4	Year 5
		FY2015	FY2016	FY2017
Original ANDA submission	15 months~	60%	75%	90%~
Tier 1 first major amendment	10 months	60%	75%	90%
Tier 1 minor amendments (1st-3rd)	3 months*	60%	75%	90%
Tier 1 minor amendments (4th-5th)	6 months*	60%	75%	90%
Tier 2 amendment	12 months	60%	75%	90%
Prior Approval Supplements	6 months*	60%	75%	90%
ANDA teleconference requests	10 business days	200	250	300
Controlled correspondence <sup>+</sup>	2 months	70% <sup>^</sup>	70%	90%
ANDAs, amendments and PASs in backlog on Oct 1, 2012	Act on 90% by end of FY2017			

Note: Performance goals in the chart means FDA should take a “first action” (as defined above) on a certain percent of applications, etc. within timeframes listed; it does not mean FDA should approve applications, etc. within such timeframes.

+If no input required from clinical division

\*10 months if inspection required

<sup>^</sup>4 months

~10 months

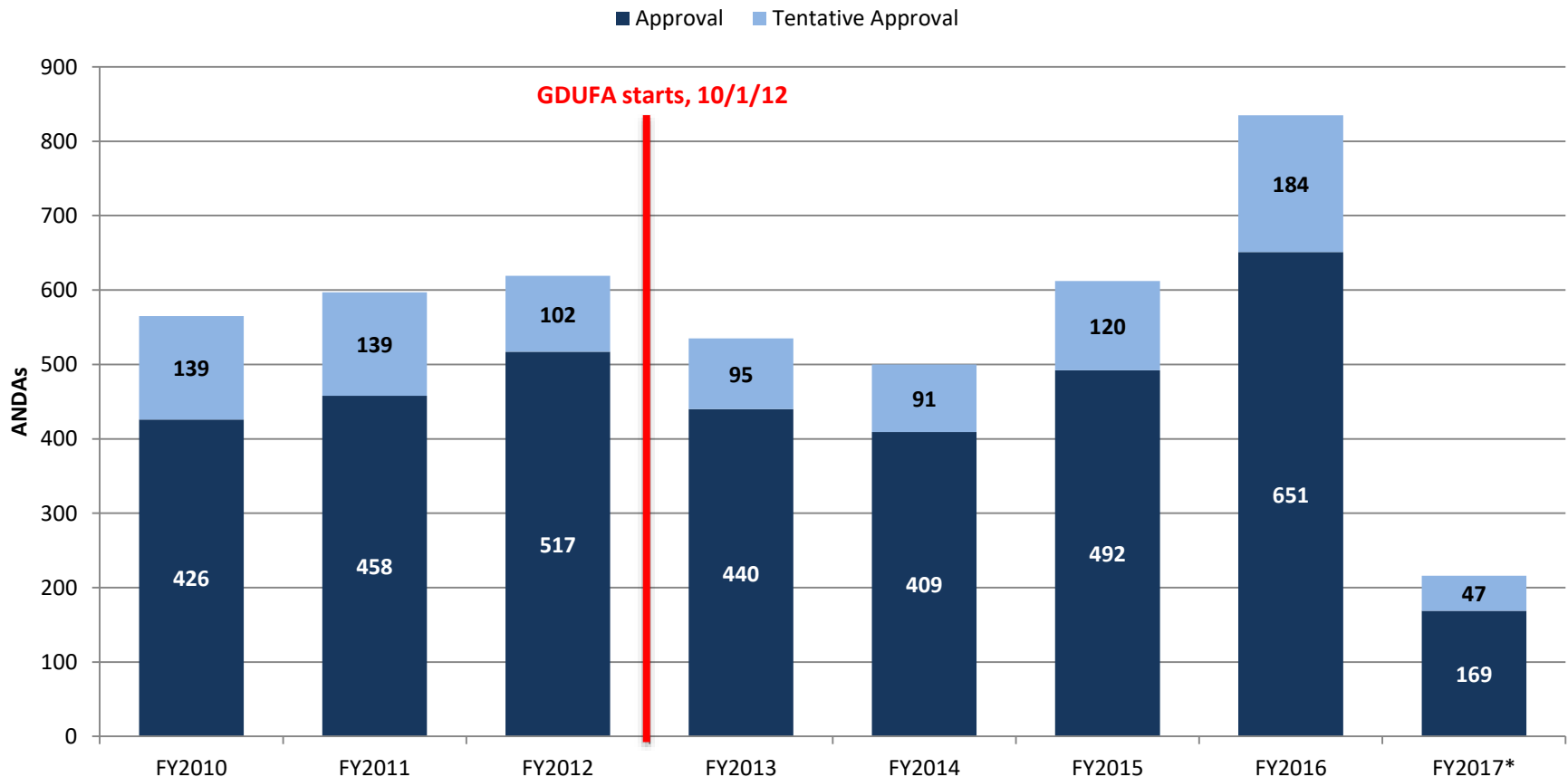
**NOW**

# GDUFA Goal: Original ANDAs

- GDUFA goal: Review and act on 60% of FY2015 Original ANDAs within 15 months of submission
- FDA acted on 97% of FY2015 Original ANDAs within 15 months of submission
  - Exceeding GDUFA goals for FY2015
- FY2016 original ANDAs are just now starting to reach their GDUFA goal dates
  - We will report out on FY2016 cohort in the future

# OTHER ACCOMPLISHMENTS

## Approvals and Tentative Approvals



\*As of 1/1/17. Numbers are based on preliminary data that will be reviewed and validated for official reporting purposes.



# OTHER ACCOMPLISHMENTS

## Notable FY2016 “First Generic” Approvals

(CY2016 OGD approved 73 “First Generics”)

Generic Name	Reference Listed Drug
Bendamustine Hydrochloride for Injection, 25 mg/vial and 100 mg/vial	Treanda for Injection
Dasatinib Tablets, 20 mg, 50 mg, 70 mg, and 100 mg	Sprycel Tablets
Dofetilide Capsules, 0.125 mg, 0.25 mg, and 0.5 mg.	Tikosyn Capsules
Efavirenz Tablets USP, 600 mg	Sustiva Tablets
Imatinib Mesylate Tabelets, 100 and 400 mg	Gleevec Tablets
Lacosamide Tablets, 50 mg, 100 mg, 150 mg and 200 mg	Vimpat Tablets
Mometasone Furoate Nasal Spray, 50 mcg	Nasonex Nasal Spray
Olopatadine Hydrochloride Ophthalmic Solution USP, 0.1%	Patanol Ophthalmic solution
Oseltamivir Phosphate Capsules USP, 30 mg, 45 mg and 75 mg	Tamiflu
Rosuvastatin Calcium Tablets, 5 mg (base), 10 mg (base), 20 mg (base) and 40 mg (base)	Crestor Tablets
Rufinamide Tablets USP, 200 mg and 400 mg	Banzel tablets
Sildenafil Citrate Tablets, 25 mg, 50 mg and 100 mg**	Viagra Tablets

\*<http://www.fda.gov/drugs/developmentapprovalprocess/howdrugsaredevelopedandapproved/drugandbiologicapprovalreports/andagenericdrugapprovals/default.htm>

\*\*ANDA approved but listed in Discontinued section of Orange Book





# OTHER ACCOMPLISHMENTS

## Starting to See First Cycle Approvals

(FY2015 cohort)

### 1<sup>st</sup> cycle

First Cycle RTR Rate	20%
First Cycle AP/TA Rate	9%
First Cycle CR Rate	71%

N=523

### 2<sup>nd</sup> cycle

Second Cycle AP/TA Rate	42%
Second Cycle CR Rate	56%
Withdrawn	2%

N=67\*\*

\*As of 1/23/17. Numbers are based on preliminary data that will be reviewed and validated for official reporting purposes.

\*\*Completed reviews of second submissions/amendment to original ANDA after receiving CR; most others are pending with industry or under review at FDA and within goal.

Prior to GDUFA, First Cycle Approvals were less than 1%.

# OTHER ACCOMPLISHMENTS

## Overall Generic Drug Program “Actions”

Pre-GDUFA

GDUFA

	FY2012	FY2013	FY2014	FY2015	FY2016	FY2017*
ANDA approvals	517	440	409	492	651	169
PAS approvals	275	535	659	624	496	115
Tentative Approval (TA)	102	95	91	120	184	47
Complete Response (CR) ‡	84	1251	1254	1180	1725	446
<b>TOTAL **</b>	<b>978</b>	<b>2321</b>	<b>2413</b>	<b>2416</b>	<b>3056</b>	<b>777</b>
DMF Completeness Assessment (CA)	0	1699	1706	901	886	102

\* As of 1/1/17. Numbers are based on preliminary data that will be reviewed and validated for official reporting purposes.

\*\* FDA will aspire to the extent possible to **maintain levels of productivity at least similar to pre-GDUFA levels**, while hiring and training incremental staff necessary to achieve the program performance goals, building necessary systems and implementing outlined program changes in years 1 and 2 of the program (GDUFA Commitment Letter, page 3)

<http://www.fda.gov/Drugs/DevelopmentApprovalProcess/HowDrugsareDevelopedandApproved/ApprovalApplications/AbbreviatedNewDrugApplicationANDAGenerics/ucm375079.htm>

‡ Complete Response both with and without inspections for ANDAs.

# **What are the reasons for the increase in Complete Response letters?**

1. GDUFA
2. ANDAs have deficiencies that need to be corrected before the Agency can approve/TA
3. Multiple review cycles to get to AP/TA

# OTHER OGD ACCOMPLISHMENTS: Filing

- Revised Refuse To Receive (RTR) Guidance, published 12/21/16
- Implementing Good Review Practices on Filing Review:
  - Robust training and review practices for staff, including strong documentation
  - Intense engagement with OGD Policy on regulatory and legal framework
  - Internal procedures to ensure consistency with filing determinations
  - Resulting in **very few rescissions of RTR** in FY2016 and FY2017
- DFR heavily involved with Controlled Correspondence
  - Successes here should translate into industry submitting ANDAs that will less likely be RTR'ed for the question raised in the Control
- More on “Filing” by Rosanne Chandra (Day 2)

# OTHER OGD ACCOMPLISHMENTS

## GDUFA Reg. Science → Access to Generics

- Coordinated internal and external research drives progress
  - ~90 active contracts and grants
- Each area in portfolio is a **\$billion/year market** where there is NO generic competition
- Huge public health impact with small regulatory science investments -- leads to large return on investment (ROI)
  - Guidance on complex products
  - Internal CDER & FDA alignment on complex issues
  - Confidence in generic substitution
  - Review tool development and use
  - Faster and smarter generic drug development and review
- More on “GDUFA Regulatory Science” by Stephanie Choi

# OTHER OGD ACCOMPLISHMENTS

## GDUFA Regulatory Science → FDA standards

- **“Product-specific” guidances**
  - ~200 per year
  - Developing more for complex products
    - ~15 for inhalation products
- **New “general” product or BE guidances**
  - Evaluating Abuse Deterrence of Generic Opioid Products (March 2016)
  - Assessing Adhesion for Generic TDS and Topical Patches (May 2016)
  - Comparative Analyses and Human Factors Studies for Drug-device Combinations submitted in an ANDA (January 2017)

# OTHER OGD ACCOMPLISHMENTS

## Policy Transparency & Predictability

- REGULATIONS ISSUED
  - MMA Final Rule (September 2016)
- GUIDANCES ISSUED
  - RTR for Lack of Justification of Impurity Limits (August 2016)
  - Updated RTR Guidance (December 2016)
  - 180-Day Exclusivity Guidance (January 2017)
  - Referencing Products in ANDAs Guidance (January 2017)
- More on “Policy” by Martha Nguyen and Maarika Kimbrell

# OTHER OGD ACCOMPLISHMENTS

## OGD Communication Enhancements

- Monthly [Activities Report](#) of the Generic Drug Program
- ANDA [First Generic Drug Approvals](#)
- Quarterly [Generic Drug Review Dashboard](#)
- Generic Drugs [listserv](#)
  - OGD RPMs using in signature block
  - >800 signed up in first month
- GDUFA [Regulatory Science Annual Report](#)
- Office of Generic Drugs 2016 [Annual Report](#)
- GDUFA Annual [Performance Report](#), 2016





# NOTABLE COMMS ACCOMPLISHMENT:

## Generic Drug Review Dashboard

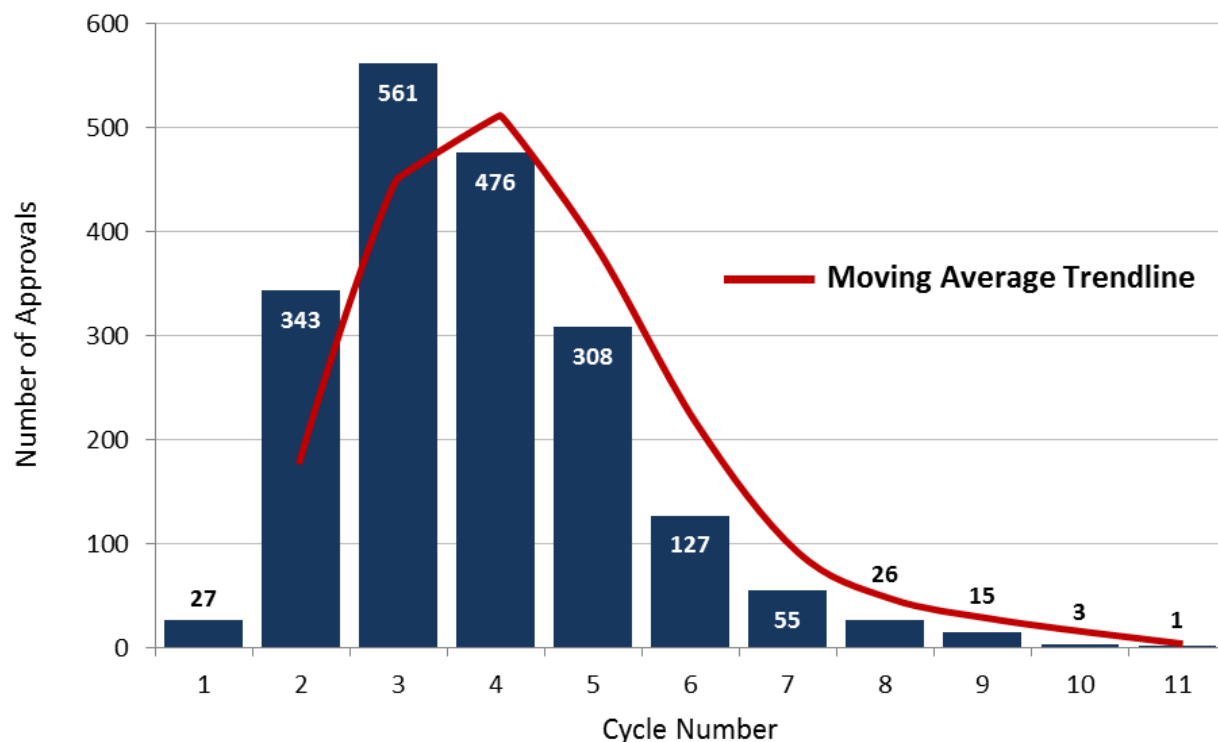
Review productivity and current workload with FDA and with industry

- Updated Quarterly
- Four reports available:
  - Total Original ANDA Workload Activity for Pre-GDUFA Year 3 Application Cohorts
  - Total Original ANDA Workload Activity for All Unapproved Applications
  - Original ANDAs - Total Agency Actions for the Most Recent 12 Months
  - ANDA Prior Approval Supplements - Total Agency Actions for the Most Recent 12 Months
- More on “Performance/Operations” by Ted Sherwood

**Despite GDUFA I successes.....  
Challenges remain**

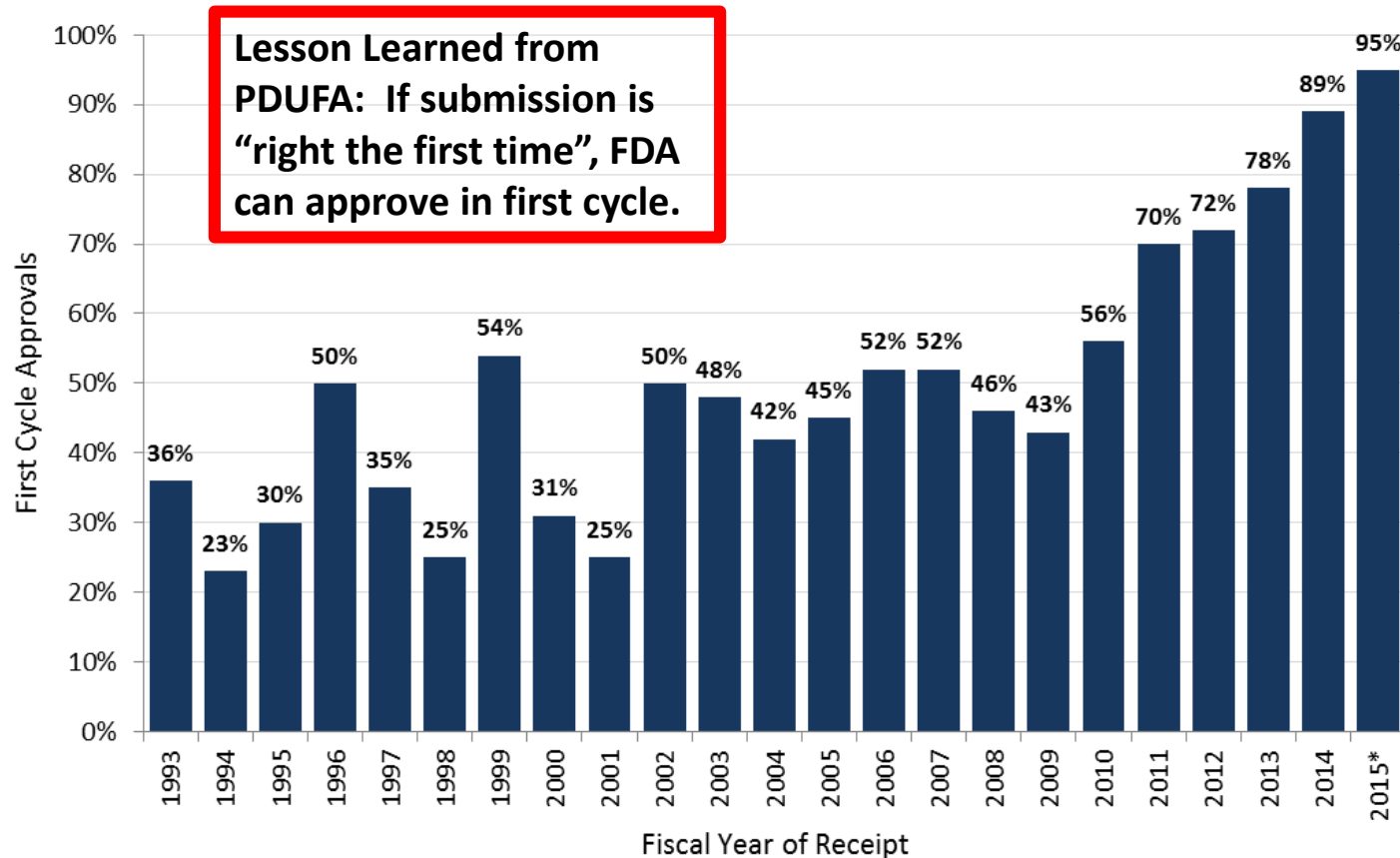
# OUTSTANDING CHALLENGE: Multiple Review Cycles

CMC Review Cycles to ANDA Approval  
2009 through July 2014



# OUTSTANDING CHALLENGE: Multiple Review Cycles

CDER NME NDAs/BLAs First Action Approval Rate



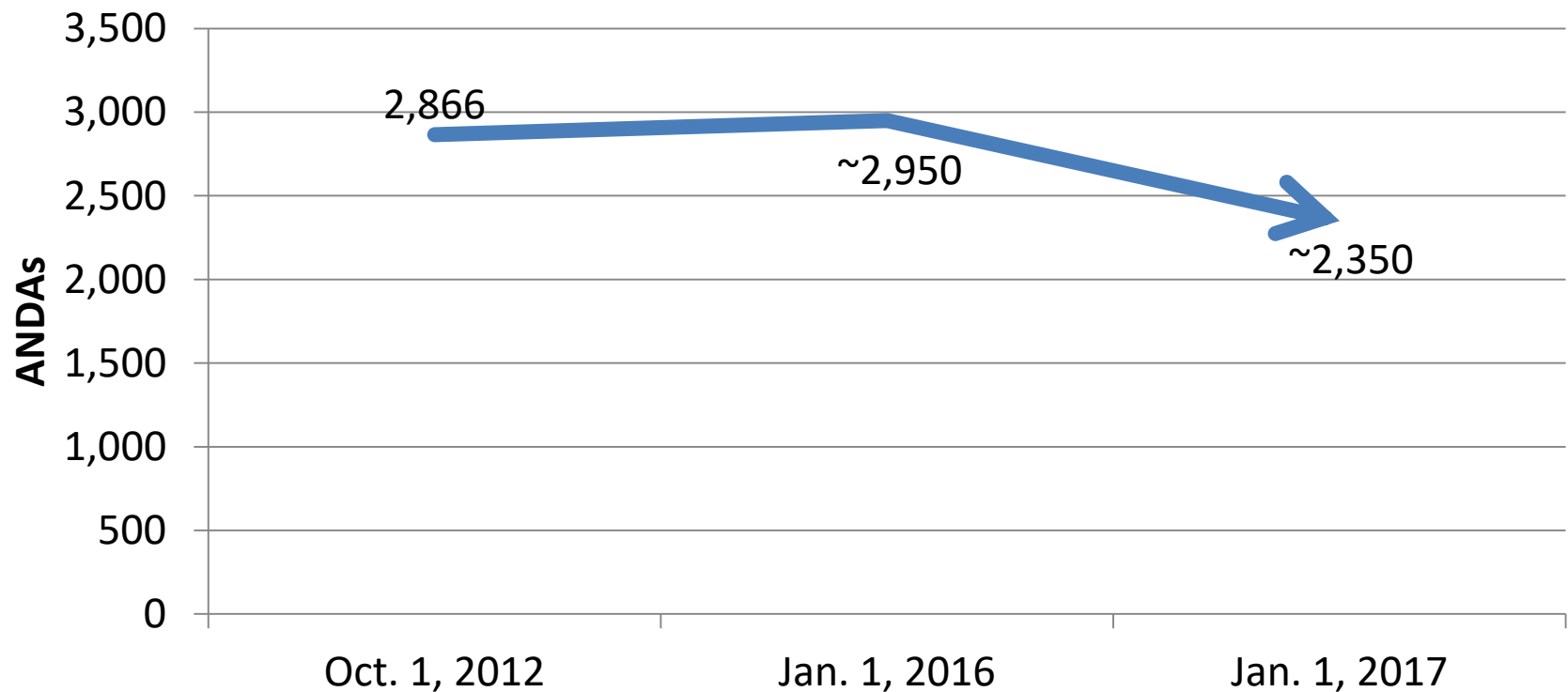
# OUTSTANDING CHALLENGE:

## Multiple Review Cycles

- Substantially all of the ANDA workload is:
  - At FDA and within the GDUFA goal, OR
  - With the applicant
- Many ANDAs are not lawfully approvable yet, because patent/exclusivity have not expired
- The **main challenge** is: It usually takes several review cycles to get to ANDA approval
- While UFAs count “regulatory actions”, approval is the ultimate public health objective
- The **good news** is: The older ANDAs are now on their second or third review cycle, as evidenced by the large number of CRs in FY2016, and therefore should be ripe for approval

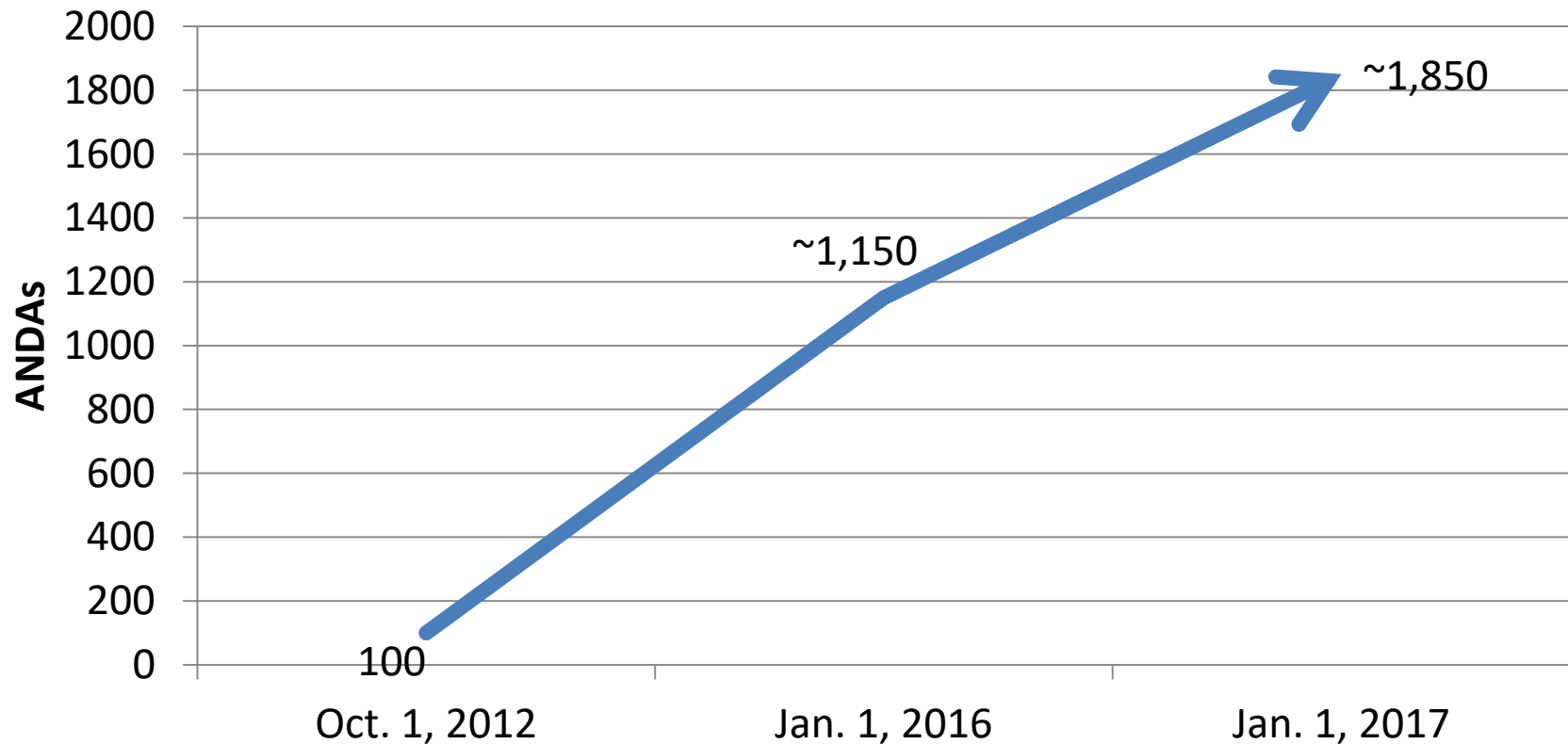
# OUTSTANDING CHALLENGE: Multiple Review Cycles

ANDAs Under FDA Review



# OUTSTANDING CHALLENGE: Multiple Review Cycles

Complete Response Letters (CRs) & TAs



# **OUTSTANDING CHALLENGE:**

## **Multiple Review Cycles**

The most common deficiencies are:

1. Inadequate facilities
2. Inadequate CMC
  - Stability
  - Dissolution
  - Inactive ingredients



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# GDUFA II

Proposed agreement between FDA and industry stakeholders

- Generic Pharmaceutical Association (GPhA)
- Bulk Pharmaceutical Task Force (BPTF)
- European Fine Chemical Group (EFCG)
- Pharma and Biopharma Outsourcing Association (PBOA)

# GDUFA II

- While there is a proposed agreement between FDA and industry stakeholders, Congress needs to reauthorize by October 1, 2017 -- otherwise, GDUFA expires
- Need timely reauthorization
- “Goals” or “Commitment” letter:

<http://www.fda.gov/downloads/forindustry/userfees/genericdruguserfees/ucm525234.pdf>

# Main features of proposed GDUFA II

- ANDA review goals
- ANDA review program enhancements
- Enhanced Pre-ANDA process for complex products
- DMF review program enhancements
- Facility assessment enhancements
- Enhanced accountability and reporting
- Program size commensurate with overall ANDA workload
- Modifications to user fee structure
- Small business considerations
- More on “GDUFA II” by Ted Sherwood, Donal Parks, and Amy Bertha

# GDUFA II Highlights

## Faster review of priority submissions: 8 months

- “Priority” defined in MAPP 5240.3 – includes first generics, sole source, shortages
- To obtain priority review, applicants must submit Pre-submission Facility Correspondence (“PFC”) at least 2 months prior to submission

Why 8 months?

So ANDA can be approved in the first review cycle.

- FDA must plan and conduct facility inspections (many are overseas) and facility often needs opportunity to correct deficiencies
- FDA review team must communicate – and applicant must address – deficiencies to get to approval

# GDUFA II Highlights

## ANDA Review Program Enhancements

- Standard and Priority reviews
  - 10 months for new “standard” submission
  - 8 months for new “priority” submission
- “Priority” reviews contingent on Pre-Facility Communication (PFC)
  - Facilities data package submitted >2 months prior to ANDA submission
  - Complete (includes ALL facilities in ANDA), accurate, and remain unchanged

# GDUFA II Highlights

## “No submission left behind”

- GDUFA Goals for all ANDAs and amendments
- GDUFA I “*Bridging*” – E.g., any pre-FY2015 ANDAs with missed/never assigned TADs as of Oct. 1, 2017 will get a GDUFA II goal date NLT July 31, 2018

# GDUFA II Highlights

## Pre-ANDA Program

- Clarify regulatory expectations early in product development, so ANDA can be “right the first time”
- More efficient and effective review process
  - Increase chances for first cycle approval
  - Reduce number of cycles to approval



# GDUFA II Highlights

## Features of Pre-ANDA Program

- “Complex Product” defined in the proposed GDUFA II Commitment Letter
  - Products with complex active ingredients, formulations, routes of delivery or dosage forms
  - Complex drug-device combinations
  - Other products where complexity or uncertainty concerning the approval pathway or other alternative approach would benefit from early scientific engagement
- Meetings
  - Product development, pre-submission, mid-review cycle -- FDA will issue guidance
- Product-Specific Guidance
  - Product-specific guidance for NCEs (not complex)
  - Identify the methodology for developing drugs and generating evidence needed to support generic approval
- Other enhancements include:
  - Controlled correspondence
  - Regulatory science
  - Inactive Ingredients Database (IID)
  - Safety Determination letters for REMS applications

A red, 3D-style stamp with the word "NEW!" in white, slanted upwards to the right.

# GDUFA II Highlights

## Small Business Fee Considerations

- No facility or ANDA sponsor would be charged an annual fee until an ANDA in which it is listed is approved
- Annual program fee would have three tiers based on number of approved ANDAs owned by a firm and its affiliates: large (20+), medium (6 – 19), and small (1 – 5)
- Contract Manufacturing Organizations (CMOs -- hired by ANDA sponsors to manufacture their generic drugs) would pay one-third of the annual facility fee paid by manufacturers that produce their own ANDAs

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# FDA Delivering on GDUFA

- FDA is successfully fulfilling all its GDUFA I commitments
- In many cases, going above and beyond our negotiated commitments
- We are building a robust, modern generic drug regulatory program
  - Sustainable and predictable
  - Clear and consistent communication
  - Fairness across applications and applicants
- Work in progress now to be ready for GDUFA II on October 1, 2017



# CLOSING COMMENTS

## THANK YOU to Industry for:

- Working with us on GDUFA I implementation
  - Your engagement and feedback improved the program
- Your patience and resilience during the past four years of tremendous change
- Working with us on GDUFA II
  - Numerous, major program enhancements to reduce cycles to approval (AP)



