

Filing Review Do's and Don'ts

Rosanne Chandra, Pharm.D.

Ankit Ghodasara, Pharm.D.

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Discussion Overview

- Common deficiencies
- Best Practices
- Communications
- Resources

Form FDA 356h

- Field 20
 - Ensure this is consistent with the patent certification provided in module 1.3.5.2
- Field 29
 - List of all facilities for the drug substance and drug product along with the contact person **at the facility**
 - Provide the type of testing conducted (if applicable)
 - Information should correspond with 3.2.S. and 3.2.P.

Cover Letter

- Refer you to the suggested cover letter template found in Appendix B in the draft guidance for industry on *ANDA Submissions—Content and Format of Abbreviated New Drug Applications*

Right of Reference

- Statement of right of reference for each and every DMF referenced in the application
- Should be located in module 1.4.2

Basis of Submission

- In section 1.12.11, provide the reference listed drug (RLD) as well as the reference standard (RS), if different

Clinical Summary Tables

- Provide tables for pivotal **and** pilot studies
- PK studies
 - Table 10
 - Should provide the location of the LTSS data **and** a hyperlink to the information
 - Provide all the analytes identified in the product specific guidance

Clinical Summary Tables

- Ensure the correct clinical summary tables are provided for your respective study

Tabular Listing of Studies

- Module 5.2
- List all studies – pilot and pivotal

Batch Records

- Provide all blank manufacturing and packaging records
- Identify the commercial batch size in the blank manufacturing records
- Fully and completely translated to English*

Impurity Tables

- Justification of Specification for drug substance and drug product
- Separate tables for:
 - Specified Identified
 - Specified Unidentified
 - Unspecified Impurities
- Fully completed table
 - If marked N/A, provide the reason

API Lot Number

- API Lot numbers should match
 - Sample statement
 - Stability data
 - Executed batch records
 - Certificate of Analysis
- Two API lots for each strength should be used
- Controlled Correspondence

Stability Data

- Clearly indicate stability initiation date and pull dates
 - Dates should be included within the stability tables
 - Common issue: Dates on stability records do not correspond with dates provided in a separate table
- 3 Time points, 6 months (180 days) for both Accelerated and Long Term stability studies

Stability Data

- If accelerated studies fail:
 - Do not discontinue stability studies prior to 6 months
 - Provide failure analysis
- Container Orientation
 - Complete worst-case and non-worst-case stability data for drug products

Reconciliation Table

- Module 3.2.R
- Table to include theoretical yield, actual yield, and packaged yield
- Should express in number of units

Best Practices

- All documents translated to English
- All documents follow eCTD and PDF specifications
- Check for completeness

Communications

- Questions on the status of an ANDA or Suitability Petition
 - ANDAFiling@fda.hhs.gov
- Questions not referencing a specific application
 - GenericDrugs@fda.hhs.gov

Resources

- [Draft Guidance for Industry ANDA Submissions — Content and Format of Abbreviated New Drug Applications \(June 2014\)](#)
- [Guidance for Industry ANDA Submissions – Refuse-to-Receive Standards \(Revision 2, Dec. 2016\)](#)
- [Guidance for Industry ANDA Submissions – Refuse to Receive for Lack of Justification of Impurity Limits \(Aug. 2016\)](#)
- [Guidance for Industry ANDAs: Stability Testing of Drug Substances and Products Questions and Answers \(May 2014\)](#)
- [Guidance for Industry Providing Regulatory Submission in Electronic Format – Certain Human Pharmaceutical Product Applications and Related Submissions Using the eCTD Specifications \(Revision 3, May 2015\)](#)
- [Guidance for Industry Controlled Correspondence Related to Generic Drug Development \(September 2015\)](#)

Thank You!

Please complete the session survey:
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